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US-PAT-NO: 6389541

DOCUMENT-IDENTIFIER: US 6389541 B1

TITLE: Regulating access to digital content

----- KWIC -----

Brief Summary Text - BSTX (7):

Because content often is produced originally in digital form, a potential

has arisen for the producers of such content to sell directly to their

customers, without the need for physical production or a distribution chain, or

third-party sales intermediaries such as retailers. For example, authors or

publishers may offer their books for sale in digital form on the Internet, for

immediate download by customers, without incurring the cost of printing and

distributing the book in paper form. Likewise, newspaper publishers could

deliver their daily content electronically, to the user's home computer

desktop, instead of in paper form to their doorstep, and music producers could

sell their recordings online without producing and distributing tapes or

compact disks. Electronic distribution could result in cost savings to the

consumer and increased profitability to the content producer, due to the

reduction in printing and distribution costs.

Brief Summary Text - BSTX (16):

Completion of an authorization process is required in order to unlock, or

gain access to, the object. Access to the object may be requested at the

direction of a human user, or may be requested without

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human intervention, such

as during execution of a computer program or script. Once the authorization

process has been completed successfully, an install process is initiated at the

client computer, wherein the object's unique coded key is copied to a location

at the client. The install process also causes a machine identification code

corresponding to the client to be copied to a location at the client. On the

Microsoft Windows Operating System, the Registry file is used for storing the

unique coded key and the machine identification code. The installation process

allows the object to be executed, or "published," locally, at that particular

client computer, as opposed to occurring across a network, and "locks" the

installed object to that particular machine. The object can be copied and is

freely transmissable between computers, but the authorization process will be

executed again if access is attempted at a different computer.

Detailed Description Text - DETX (16):

An acceptance message causes the client to find the unique coded key

associated with the object 90, and copy it to a system file, such as the

Windows Registry, shown at step 98. The unique coded key is a numeric output

of a mathematical algorithm, which is part of the parsed data string

constituting the object 90, along with the solicitation form 100 and other

necessary files. The unique coded key is encrypted in order to mask its

identity and prevent unauthorized copying. In addition to storing the unique

coded key in the Registry, the client also stores a machine identification code

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in the Registry at step 98, in order to lock the installation of the object to one particular machine. The object thereafter may be copied or transmitted to other client computers but will not be accessible at the other client computers until properly authorized and installed on those computers.

Detailed Description Text - DETX (17):

Upon storing the unique coded key and machine ID, the client decompresses,

decodes, and executes, or "publishes," the object at step 99. Alternatively, a

"Ready For Use" window 120, shown in FIG. 9, may first be displayed to the user

upon installation, specifically notifying the user that the transaction has

been approved and the object successfully installed. Up until this point, the

transaction had been transparent to the user. When the object is executed, the

files within the object are copied to a subdirectory of a temporary folder, and

each time the object is closed, the files in the subdirectory are deleted.

Once a particular object has been authorized and installed, the client may

reopen and display or execute the object as many times as desired.

US-PAT-NO: 6389541

DOCUMENT-IDENTIFIER: US 6389541 B1

TITLE: Regulating access to digital content

----- KWIC -----

Abstract Text - ABTX (1):

Digital content such as text, video, and music are stored as part of a

compressed and encrypted data file, or object, at a <u>client</u> computer, such as a

personal home computer. The content is inaccessible to a user until a payment

or use authorization occurs. Payment or use authorization occurs via a

real-time, transparent authorization process whereby the user enters account or

use data at the <u>client</u> computer, the account or use data is transmitted to a

payment server computer, the account or use data is preprocessed at the payment

server computer and if payment information is required and is present, the

payment information is transmitted to a payment authorization center. The

payment authorization center approves or rejects the payment transaction, and

bills the corresponding account. The authorization center then transmits an

authorization signal to the payment server computer indicating whether the

transaction was approved and if not, which information was deficient. In

response, the payment server computer transmits a token to the <u>client</u> computer,

and if the token indicates approval, an installation process is initiated at

the <u>client</u> computer whereby the object is activated and locked to the

particular  $\underline{\text{client}}$  computer. The object can be reopened and reused at any time

on that particular computer. If the object is transmitted or copied to a

different computer, the required payment or use information must again be

tendered for access to the content.

US Patent No. - PN (1): 6389541

Brief Summary Text - BSTX (2):

This invention relates to regulating access to an object containing digital

information or content stored at a client computer.

Brief Summary Text - BSTX (4):

Computer <u>networks</u>, including public switched <u>networks</u> using Transmission

Control Protocol/Internet Protocol (TCP/IP) such as the Internet, represent a

potentially economical and efficient conduit for the electronic delivery of

content. Digital files including text, graphics, sound, video, or any number

of media formats can be created, and stored electronically, and delivered from

one point to another via a <u>network</u>.

Brief Summary Text - BSTX (5):

Applications for transfer of content via computer <a href="networks">networks</a> have proliferated

in recent years, in part due to the popularity of the <a href="Internet">Internet</a>. For example,

one widely used application is electronic mail ("email"), a messaging protocol

for the delivery of text-based messages from one user to another. An email

message also can include attachments, which are files that the sending party

selected and designated for delivery along with the email message.

Brief Summary Text - BSTX (6):

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Another application, the  $\underline{\text{Internet}}$  browser, provides a mechanism for viewing

World Wide <u>Web ("Web")</u> pages. <u>Web</u> pages are multimedia files written in a

hypertext format, for example, utilizing the hypertext mark-up language (HTML),

and stored at servers computers ("Web servers") on the Internet. A Web server

responds to a request from a <u>client</u> to view a <u>Web</u> page by downloading the

appropriate file to the <u>client</u>. The file is displayed by the client's browser,

and usually is stored in the <u>client's</u> cache directory and/or memory along with

other recently accessed <u>Web</u> page files. Each time a user at the client

computer wishes to view a new <u>Web</u> page, the user must enter the address, or

Uniform Resource Locator (URL) of the file corresponding to the Web page, or

select a hypertext link corresponding to the URL of that page. The <u>client</u> then

requests from the  $\underline{\text{Web}}$  server the file at the designated URL, and the server

delivers this file to the <u>client</u>.

Brief Summary Text - BSTX (7):

Because content often is produced originally in digital form, a potential

has arisen for the producers of such content to sell directly to their

customers, without the need for physical production or a distribution chain, or

third-party sales intermediaries such as retailers. For example, authors or

publishers may offer their books for sale in digital form on the Internet, for

immediate download by customers, without incurring the cost of printing and

distributing the book in paper form. Likewise, newspaper publishers could

deliver their daily content electronically, to the user's

home computer

desktop, instead of in paper form to their doorstep, and music producers could

sell their recordings online without producing and distributing tapes or

compact disks. Electronic distribution could result in cost savings to the

consumer and increased profitability to the content producer, due to the

reduction in printing and distribution costs.

Brief Summary Text - BSTX (9):

The efficacy and desirability of delivering and receiving content via a

computer <u>network such as the Internet</u> is determined by several additional

factors. For example, the speed and capacity of the server and client

computers, and the communication link therebetween, may significantly affect

content delivery and sometimes prohibit, as a practical matter, delivery of

certain media formats. The speed of file transfer is affected by, among other

things, the bandwidth of the communications link between the server and the

<u>client</u>, the traffic on the <u>network</u> at the time of file transfer, and the size

of the file(s) to be transferred. While small, plain text files normally can

be delivered quickly using existing systems and <u>networks</u>, other file types,

such as multimedia files containing graphics or sound, can be quite large and

therefore may take significantly longer time to deliver. This is particularly

true of delivery to home computers, which usually have a relatively

low-bandwidth connection (e.g. a modem and standard telephone line) to the

<u>network</u>. Also, memory capacities, including Dynamic Random Access Memory

(DRAM) and disk space, can limit the size and complexity of

files that can be executed and stored by a client or server computer.

Brief Summary Text - BSTX (10):

Factors associated with maintaining a <u>network</u> connection also may affect the

desirability of electronic content delivery, particularly from the standpoint

of the <u>client</u>. For example, most <u>Web</u> sites consist of multiple pages including

hypertext links to related pages at the same server, and to other Web sites

located at other servers. When browsing, or jumping from page to page, on the

Web, an Internet connection should be maintained continuously, because each

file is accessed by a separate request from the <u>client</u>. Maintaining a

connection can be inconvenient because it may tie up the user's telephone line,

the connection may be slow (requiring the user periodically to wait for the

next page to be downloaded), or the connection may terminate unexpectedly

before the user has completed viewing the document.

Moreover, some <u>Internet</u>

access providers charge customers based on connection time, so maintaining a

connection over a long period of time can become expensive.

Brief Summary Text - BSTX (11):

Yet another factor associated with electronic content delivery is the level

of privacy protection afforded the sending and receiving parties. For example,

electronic documents undergoing transmission may contain confidential business

information, thus users may be reluctant to deliver such documents over a

computer <a href="mailto:network">network</a> for fear that the document may be intercepted by a third

party, either intentionally or unintentionally. A message sent via a

packet-switching <u>network such as the Internet</u> passes through many different computers on the <u>network</u>, or nodes, on the way to its final destination. The message potentially could be intercepted at any one of these nodes, or at the final destination.

Brief Summary Text - BSTX (12): Also important for content delivery are the attractiveness and ease of use of interfaces presented to the user for interacting with the computer or other content-providing vehicle. In the physical world, interfaces are important for a variety of purposes. A newspaper, for example, is arranged to have an aesthetically pleasing layout, eye-catching graphics and titles, and easy browsing from one page to the next, in order to facilitate viewing its content. Also, an interface can govern the manners in which separate physical documents are arranged and delivered. When sending physical documents, for example, related documents often are grouped by paperclip or staple, or by packaging in

or by packaging in an envelope. Like the physical world, in the digital environment, using a

network for sending a document, receiving a document,
viewing a document,

paying for a document, or requesting permission to access a document all may be

controlled through user interfaces. The properties and characteristics of the

particular interface(s) used will affect the desirability of conducting such operations electronically.

Brief Summary Text - BSTX (15):

Objects embodying digital content (such as newspaper text, executable computer programs, or music) are arranged in a format for

electronic delivery,

the format comprising an encrypted, compressed, parsed data string which

includes the files containing the content, a unique coded key corresponding to

the object, an access authorization form, and a setup file. The data string

also may include applications necessary for viewing the content, such as

browsers or viewers. The object may be copied and transmitted freely between

computers. For example, a merchant server on the <u>Internet</u> may advertise

objects representing newspapers or magazines available for delivery to client

computers. Users at <u>client</u> computers may download an object using, for

example, File Transfer Protocol (FTP), or users request that the object be sent

to the <u>client</u> computer via electronic mail. Delivery is facilitated by the

fact that the object is compressed and therefore requires relatively less time

to transmit across the <u>network</u>. As an alternative to network delivery, the

object may be acquired from a CD-ROM or other physically transportable medium.

The object can be stored at the <u>client</u> computer, on a hard drive, for example,

or on a transportable medium.

Brief Summary Text - BSTX (16):

Completion of an authorization process is required in order to unlock, or

gain access to, the object. Access to the object may be requested at the

direction of a human user, or may be requested without human intervention, such

as during execution of a computer program or script. Once the authorization

process has been completed successfully, an install process is initiated at the

client computer, wherein the object's unique coded key is

copied to a location

at the <u>client</u>. The install process also causes a machine identification code

corresponding to the  $\underline{\text{client}}$  to be copied to a location at the client. On the

Microsoft Windows Operating System, the Registry file is used for storing the

unique coded key and the machine identification code. The installation process

allows the object to be executed, or "published," locally, at that particular

<u>client</u> computer, as opposed to occurring across a <u>network</u>, and "locks" the

installed object to that particular machine. The object can be copied and is

freely transmissable between computers, but the authorization process will be

executed again if access is attempted at a different computer.

Brief Summary Text - BSTX (17):

When access to an object is requested initially at a client computer, for

example by a human user or by an automated computer script, the  $\underline{\text{client}}$  computer

conducts an access check. The access check may comprise searching one or more

designated system files at the <u>client</u> computer for the unique key corresponding

to the object and the machine identification code corresponding to the computer

at which the request occurred. If the access check reveals that the required

files are present, then the object is automatically decoded and executed. The

files containing the digital content are copied to a temporary directory, and

the content is available for use. When the resource using the content has

completed such use, the temporary directory is deleted and the object is

encrypted. The content can be reaccessed and reused at that particular

computer as many times as the user or resource desires.

Brief Summary Text - BSTX (18):

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If the access check fails, then an external authorization procedure is

implemented. Payment and/or use information is collected at the client

computer at which the access request occurred. The payment/use information can

be input by a human user, or can be automatically collected by the resource

based on existing, stored information. Payment information may be required if

the producer or supplier of the object requires such payment for execution of

the object (i.e. the object is being sold or licensed to the user).

Alternatively or in addition to payment information, use information may be

required, such as employment-related data, educational information, family

information, or any other information which a content producer or supplier

wishes to consider in regulating access to the object. The payment/use

information is transmitted from the  $\underline{\text{client}}$  to a payment server, using a

communications link such as the <u>Internet</u>.

Brief Summary Text - BSTX (19):

The payment server directs the external authorization procedure, based on

the payment/use information received. The payment server first may process, at

a "preprocessing" stage, the payment/use information. During preprocessing,

the payment server may search the information for payment information in

correct format, such as valid credit card number (i.e. proper number of digits)

and expiration date. Alternatively, if only "use" information is required for

accessing the object, the payment server may search for the

required "use"

information. For example, a magazine may require that users indicate they are

a student at an accredited U.S. law school in order to gain access to an

object representing a legal magazine. The payment server will scan the

information received from the  $\underline{\text{client}}$  for such indication of law school, and if

found, will transmit a message back to the <u>client</u> initiating an installation procedure as discussed later herein.

Brief Summary Text - BSTX (20):

If payment information is required, and preprocessing reveals proper format,

then selected portions of the payment information are transmitted via a

communications link to an authorization center for account verification. The

authorization center may be, for example, a credit card authorization center.

In this example, the payment server transmits the credit card number,

expiration date, and the amount of the purchase to the authorization center,

and the authorization center verifies or rejects the transaction based on the

funds available in the account and the amount of the requested purchase. If

the purchase is verified, the authorization center deducts the appropriate

amount from the account and sends a message to the payment server indicating

verification. If the purchase is rejected, the authorization center sends a

message to the payment server indicating rejection. A dedicated frame relay

<u>network</u> may comprise the communications link between the payment server and the authorization center.

Brief Summary Text - BSTX (21):

Upon receiving a message from the authorization center indicating either

acceptance or rejection of the transaction, the payment server transmits a

"token" back to the <u>client</u> computer. The token is a file indicating whether

the transaction has been approved; i.e. whether the object should be installed

and access granted. If the token indicates approval, the token causes the

client computer to execute the install process discussed
previously, wherein,

for example, a unique coded key corresponding to the object is installed at the

<u>client</u>, along with the <u>client</u> machine identification code. The object is then

automatically published, and access is available thereafter (without requiring

further payment or use information) according to the access check described

herein. If the token indicates rejection, the install process will not be

initiated and access is denied. The token may contain additional information

indicating reasons for the rejection, and the  $\underline{\text{client}}$  may display a message

based on this additional information, for the benefit of a human user.

Brief Summary Text - BSTX (22):

The token, as well as the access check and the install process, is

transparent and inaccessible to the resource requesting access. The "resource"

seeking access to the object may be a human user, a computer program, or a

combination thereof. The requesting resource, to the extent feasible, is

prevented from copying the token or copying the installed unique coded key so

as to enable access without the required payment or other authorization at

other <u>client</u> computers.

Brief Summary Text - BSTX (27):

The mechanisms described here also allow a customer to enjoy the advantages

of a digital product without the delays and inconveniences associated with

continuously retrieving files over a limited bandwidth public switched network.

The product is stored at the customer's computer in compressed and encrypted

form, until the time at which the customer desires to view or use the product.

Purchase or activation then occurs according to the mechanisms described here.

Once activated and unlocked, the product is executed, or published, directly on

the customer's computer, and the customer can view or use the product without

the need for <u>network</u> access. The mechanisms therefore make accessing large

digital files, including multimedia documents or movies, much more convenient

and enjoyable to the user

#### Brief Summary Text - BSTX (29):

A customer may obtain an object in any of several convenient and efficient

ways, and the object may be copied and transmitted to others who also may wish

to purchase or properly access the content contained therein. Electronic mail,

for example, can be used to deliver the object to the customer's electronic

mailbox. Allowing electronic mail to be used for delivery of packaged content

to a customer provides the benefit of extending the functionality of a

communications framework which is already existent and available to a wide

number of potential customers. It provides the additional benefit of not

requiring the user to monitor the delivery process or maintain a network

connection during delivery. In fact, delivery of various digital products to

the user's electronic mailbox could be automated to occur at regular intervals,

thereby eliminating the hassle of a user having to request each and every day

the delivery of, for example, the daily newspaper in digital form.

Brief Summary Text - BSTX (30):

The systems and techniques described here also enable the coordinated

execution, or "publishing," of the files comprising the object. Such

coordinated execution provides benefits to the user--for example, the direct

publication of a <u>Web</u> site completely on the customer's client computer, without

requiring input or instructions from the user. The system uses common,

existing applications such as browsers and viewers to execute files and publish

digital content, thus reducing the need for the user to purchase or acquire

additional applications for the use of digital content.

Drawing Description Text - DRTX (3):

FIG. 2 is a browser display of a <u>Web</u> page of a popular newspaper, with

content similar to the traditional printed version, available for reading online.

Drawing Description Text - DRTX (5):

FIG. 4 is an example of an "electronic magazine rack," displaying objects

which can be delivered via a network and activated and viewed.

Drawing Description Text - DRTX (12):

FIG. 11 is a file structure listing for the object of FIG. 10, illustrating

<u>client</u> storage of the files constituting the object.

Detailed Description Text - DETX (2):

FIG. 1 shows a <u>network</u> sales and payment authorization system 2. The system

utilizes a <u>network</u> 4, such as the public switched <u>network</u>, which allows remote

computers to communicate with one another. For example, the Internet and the

hypertext software protocol of the World Wide  $\underline{\text{Web}}$  may be used for this purpose.

Hypertext Markup Language (HTML) files residing at server computers may be

accessed and viewed by remote <u>client</u> computers with browser software, via the

<u>Internet</u> and the Hypertext Transfer Protocol (HTTP) for requesting and

receiving files. HTML files can contain or refer to any type of digital data,

including multimedia documents with text, audio, video, 3-D, and animation, and

can launch executable programs. An HTML file is identified by a Uniform

Resource Locator (URL) pinpointing the file's location, and a client can

request that the appropriate server deliver a particular file to it by

specifying the correct URL.

Detailed Description Text - DETX (3):

Digital products may be displayed and available for purchase at a merchant

computer 12, which is a server connected to the  $\underline{\text{network}}$  4. The digital

products may be encrypted in order to protect against unauthorized access, and

may be compressed to facilitate efficient delivery and storage. Customers may

download the products from the merchant computer 12 to any of a plurality of

<u>client</u> computers 6, 8, 10 which also are connected to the network 4. Customers

may use a personal home computer connected to the <u>Internet</u> via a modem and

telephone line as  $\underline{\text{client}}$  computer 6. As an alternative to downloading the

product, customers can request that the product be emailed to them, or can copy

the product from a computer-readable physical medium such as a diskette or CD-ROM.

Detailed Description Text - DETX (4):

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A payment computer or server 14 is connected to the network 4 for processing

payment for and authorizing access to an object. Payment computer 14 is

connected to financial authorization body 16 via a telecommunications link 15,

for example, a dedicated frame relay network.

Detailed Description Text - DETX (5):

FIG. 2 illustrates one format for viewing digital content. FIG. 2 shows a

 $\underline{\text{Web}}$  page 20, comprised of HTML files, which is part of the  $\underline{\text{Web}}$  site of a

popular newspaper. The <u>Web</u> page 20 represents the "front page" of an

electronic version of the newspaper. The  $\underline{\text{Web}}$  page 20 is located at URL 22, and

includes text and graphics, as well as hypertext links 24, 26 to internal pages

of the <u>Web</u> site, analogous to internal pages of a traditional printed

newspaper. The <u>Web</u> page 20 can be viewed with an <u>Internet</u> browser, such as the

Netscape Navigator browser depicted in FIG. 2. When the user selects a

hypertext link 24, 26 the browser will request from the Web site server the

HTML file at the corresponding URL, and will display this file.

Detailed Description Text - DETX (6):

As can be seen in FIG. 3, content available for browsing in real-time on the

Web is not limited to text and graphics, but also may

include movies and sound.

The movie 30 shown in FIG. 3 is a file located at URL 32, which has been

downloaded from the server to the  $\underline{\text{client}}$ . The browser, along with any

necessary helper applications or plugins, displays the movie in browser window

28 where it is now ready to play.

Detailed Description Text - DETX (7):

Multimedia files such as those depicted in FIGS. 2 and 3 may require

significant time to download from the server on which they reside, due to the

large size of the files and the limited bandwidth of the network over which

they must be sent. Thus, browsing the  $\underline{\text{Web}}$  in real-time for viewing of digital

content such as newspapers and movies may not be desirable or practical for

many users. An alternative method is to package the content in a compressed,

encrypted, self-extracting format and deliver it to the user's computer, and

after the user has paid for the object, to allow access to the content at the

user's computer. FIGS. 4-12 and the corresponding description describe such

packaging, delivery, payment and selected access to digital content.

Detailed Description Text - DETX (9):

Objects available at magazine rack 39 include magazines 40 and 42. The user

can select the object desired, and can have it delivered electronically, such

as by email or using File Transfer Protocol (FTP). The user then can pay for

the object at a later time, and thereafter can browse or execute the product at

his leisure, in real-time at his own <u>client</u> computer, without the need for

maintaining a network connection or for downloading files

repeatedly from a

remote server via the  $\underline{\text{network}}$ . After the user has paid for the object and is

done viewing or executing it, the object is stored at the user's client

computer in encrypted, compressed form until the user again requests to view or execute the object.

Detailed Description Text - DETX (10):

FIG. 5 is a flow chart illustrating a procedure initiated at a client

computer when a user requests to view or execute an object. FIG. 6 shows the

initial interface or packaging of object 90, in this example a digital

magazine. A user indicates a request to access object 90 by clicking on doors

92 or 94, or selecting an "open" command from the file menu. This access

request is shown as step 50 in FIG. 5. At step 52, the client computer on

which the object is stored searches an operating system file for a unique coded

key corresponding to the object 90. Every unique object has a unique coded key

(which also may be referred to simply as a "key" or "cookie") which acts as a

key for accessing the object. When the object is paid for and installed, the

unique coded key is written to an operating system file at the computer on

which it is installed. If the operating system is the Microsoft Windows

Operating System, for example, this system file is the Windows Registry file.

Thus, in step 50, if the object 90 already has been properly paid for and

installed on the <u>client</u> computer using the Windows OS, the unique coded key

corresponding to object 90 will be found in the Registry file. If the unique

coded key is not found, the payment and installation

process begins at step 54,

further illustrated in FIGS. 7A and 7B. If in fact the unique coded key is

found, then at step 56 the  $\underline{\text{client}}$  computer attempts to match its machine ID

with a machine ID recorded in the Registry. In addition to recording the

unique coded key in the Registry file, a machine ID was recorded in the

Registry file when an object was first paid for and installed, in order to lock

the installation to a particular machine. This prevents a user from purchasing

one object and sending functional copies to others. If a machine ID match is

not found, then the payment and installation process is initiated at step 54.

If the correct Machine ID is found, then this indicates prior payment for the

object and access should be granted. In that case, the object is decompressed,

decoded, and displayed or executed (or, "published") at step 58, and thus

becomes ready for use or viewing by the user.

Detailed Description Text - DETX (11):

FIGS. 7A and 7B are a flow chart illustrating the payment and installation

process that may be performed when the coded key check process of FIG. 5

reveals that proper payment or other authorization has not yet been received in

order to access the object at that particular computer. According to the

process of FIGS. 7A and 7B, the <u>client</u> computer at step 70 first displays a

solicitation form, an example of which is shown in FIG. 8. The solicitation

form 100 is stored as part of the object, and allows the user to enter payment

information or "use information" for the object. Payment information is the

data used to validate and debit credit cards, or other

means of electronic payment such as use of Electronic Funds Transfer (EFT) or electronic cash ("ecash") accounts. Use information is data used to control, for example, circulation materials such as industry and trade publications, which require the recipient to provide employment data in order to have a "no charge" edition of the publication. The mechanisms described herein can regulate access to digital content based on payment information, use information, or both. In the example of FIG. 8, the solicitation form has query boxes 104, 106, 108, and 110 for entry of credit card account information. solicitation box corresponding to a different object could present different

Detailed Description Text - DETX (12):

spaces for entering other information.

or additional

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Payment/use information is entered by the user at step 72 of FIG. 7A, and is

held in solicitation form data files at the user's ( $\underline{\text{client}}$ ) computer. The user

initiates submission of the data by activating the submit control, represented

as the Submit Now button 102 in the interface of FIG. 8. Activating the submit

control causes the <u>client</u> computer to set up a communications channel, for

example, a socket connection. The socket initiates a TCP/IP connection over

the public switched <a href="network">network</a> (i.e. an <a href="Internet">Internet</a> connection) between the client

and a payment server computer. When the socket connection has been

established, the payment/use data is transmitted at step 74 from the client to

the payment server via the public switched <a href="mailto:network">network</a>, through the socket connection.

Detailed Description Text - DETX (13):

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At the payment server, the payment/use data is loaded into an "Open Data"

file for preprocessing 76. Controlled use data information is validated or

rejected at the payment server during preprocessing, based on previously

established criteria relating to the particular object. Payment information is

checked for a valid account format, for example, an existing credit card type

and the correct number of digits, and valid expiration date. If the

payment/use data is rejected during preprocessing, the data representing the

invalid attempt is stored at step 78, and a rejection code is transmitted at

step 80 to the <u>client</u> computer. The rejection code is a 128-bit string

containing information indicating the reasons for the rejection. In this

string, the first bit indicates rejection of the transaction, and the following

bits contain specific information as to the deficiencies. The code is

displayed as a rejection message 82 at the  $\underline{\text{client}}$  computer, and the user has

the opportunity to reenter the payment/use information 72. After three

successive failures, the socket connection is terminated.

Detailed Description Text - DETX (14):

If the payment/use information is validated at the preprocessing stage, then

it is copied from the "Open Data" file to a "Transmit and Authorize" file, and

transmitted to an authorization center, such as a bank or credit card

authorization center, at step 84 for account verification and authorization 86.

A dedicated frame relay  $\underline{\text{network}}$  can be used to connect the payment server to

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the authorization center, for communications therebetween. Alternatively,

verifications and authorization can be performed using a public network. If

the account information is authorized and the transaction approved by the

authorization center, the appropriate account is billed or debited 88 and an

authorization code is transmitted to the payment server at step 90, the

authorization code indicating acceptance and authorization. If the transaction

is rejected, due to insufficient funds in the account, for example, an

authorization code is transmitted to the payment server at step 92, the

authorization code indicating rejection of the transaction.

#### Detailed Description Text - DETX (15):

Upon receiving an authorization code from the authorization center, the

payment server stores the payment/use information that had been submitted,

along with the authorization code at step 94, in an "Accept and Reject" file.

The payment server then processes the authorization code, to determine whether

the transaction has been accepted or rejected 96. If rejected, the invalid

attempt is stored 78 and a 128 bit rejection message is transmitted to the

<u>client</u> at step 80, and displayed at the <u>client</u> at step 82, whereupon the user

can again enter payment/use information 72 and resubmit the data 74. The

rejection message may indicate, for example, invalid name, address, or

insufficient account balance. If the check authorization code step 96 reveals

acceptance of the transaction, the payment server initiates an install process

at the <u>client</u>. At step 97, the payment computer transmits to the <u>client</u> an

acceptance message or "token," which indicates that payment or approval for use

has been authorized. In FIG. 7B, the acceptance message is a 128-bit message

wherein the first bit signifies acceptance and the following 127 bits are

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"dummy" bits utilized for conveying information only when a rejection has

occurred. The acceptance message can be made transparent to a user of the

<u>client</u> computer. "Transparent," as used herein, means that the file (or

"message," "token," "key," or "cookie") to the extent feasible is hidden or is

not revealed to a user, so as to protect against interception, copying, reuse,

or other manipulation by the computer seeking access to the content. If the

transaction is rejected, the payment computer will transmit a rejection

message in FIG. 7B, the rejection message is a 128-bit message wherein the

first bit signifies rejection to the <u>client</u> computer, and the following 127

bits contain information as to the reasons for the rejection.

Detailed Description Text - DETX (16):

An acceptance message causes the <u>client</u> to find the unique coded key

associated with the object 90, and copy it to a system file, such as the

Windows Registry, shown at step 98. The unique coded key is a numeric output

of a mathematical algorithm, which is part of the parsed data string

constituting the object 90, along with the solicitation form 100 and other

necessary files. The unique coded key is encrypted in order to mask its

identity and prevent unauthorized copying. In addition to storing the unique

coded key in the Registry, the <u>client</u> also stores a machine

 $\langle \xi - \frac{\varepsilon}{2} \rangle = A$ 

identification code
in the Registry at step 98, in order to lock the
installation of the object to
one particular machine. The object thereafter may be
copied or transmitted to
other <u>client</u> computers but will not be accessible at the
other <u>client</u> computers
until properly authorized and installed on those computers.

Detailed Description Text - DETX (17):

Upon storing the unique coded key and machine ID, the client decompresses,

decodes, and executes, or "publishes," the object at step 99. Alternatively, a

"Ready For Use" window 120, shown in FIG. 9, may first be displayed to the user

upon installation, specifically notifying the user that the transaction has

been approved and the object successfully installed. Up until this point, the

transaction had been transparent to the user. When the object is executed, the

files within the object are copied to a subdirectory of a temporary folder, and

each time the object is closed, the files in the subdirectory are deleted.

Once a particular object has been authorized and installed, the client may

reopen and display or execute the object as many times as desired.

Detailed Description Text - DETX (19):

FIG. 10 shows an example of an object that has been authorized and opened.

The content 122 is a digital newspaper, consisting of HTML files, which has

been published using a browser application at the <u>client</u>. As shown in FIG. 11,

the files constituting the object are stored in a temporary subdirectory of the

<u>client</u> computer's hard drive (e.g. the c:.backslash. drive) and thus no

network connection is necessary to browse the contents.

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Objects can assume a variety of different file formats; if the <u>client</u> has a proper application for opening the particular file format, the object will be published and displayed at the <u>client</u>. FIG. 12, for example, shows an object in the PDF file format, a novel, published at the <u>client</u> using a viewer application. Other formats can be authorized, installed, and published, such as AVI and MPEG (movies), WAV (sound), or JPEG (graphics).

Claims Text - CLTX (2):

at a <u>client</u>, executing an access checking process to determine whether the <u>client</u> holds a pre-existing permission for a resource to access the digital content,

#### Claims Text - CLTX (5):

based on the received token, executing an installation process that generates at the <u>client</u> a permission that is locked uniquely to the <u>client</u> and that may be found by a later execution of the access checking process.

#### Claims Text - CLTX (6):

2. The method of claim 1, wherein requesting the permission, receiving the token, and selectively granting the resource access are performed on the client.

#### Claims Text - CLTX (7):

3. The method of claim 2, wherein the token is not transferable to another client.

## Claims Text - CLTX (9):

5. The method of claim 4, wherein the installation process includes

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installing at the <u>client</u> a machine identification code identifying the <u>client</u> on which the installation process is executed.

Claims Text - CLTX (10):

6. The method of claim 4, wherein the access checking process determines whether the <u>client</u> holds a permission and a machine identification code.

Claims Text - CLTX (13):

9. The method of claim 8, wherein the permission is requested via a public switched <a href="mailto:network">network</a> and the token is received via the public switched <a href="mailto:network">network</a>.

Claims Text - CLTX (14):

10. The method of claim 9, the method further comprising establishing a socket connection with the server computer for requesting the permission and receiving the token via the public switched network.

Claims Text - CLTX (26):

19. The method of claim 18, wherein the payment data and the authorization code are transmitted via a dedicated frame relay network.

Claims Text - CLTX (28):

processing use information received from a <a href="client">client</a>;

Claims Text - CLTX (30):

transmitting the token to the <u>client</u> based on a result of the search.

Claims Text - CLTX (32):

in response to a request from a <u>client</u> to a server for permission for access to the encrypted object, returning to the <u>client</u> a token that is not unique to the encrypted object or to the client,

Claims Text - CLTX (33):

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in response to the token, generating a permission key at the client, and

Claims Text - CLTX (34):
decrypting the object at the client.

Claims Text - CLTX (36):

23. The method of claim 21, wherein the object includes a file comprising digital content, and decrypting the object initiates copying of the file comprising the digital content to a temporary location at the client.

Claims Text - CLTX (37):

24. The method of claim 23, the method further comprising reencrypting the object and removing the file comprising the digital content from the temporary location at the <u>client</u> based on a request to close the object.

Claims Text - CLTX (38):

25. The method of claim 24, wherein the object is stored in encrypted format at the <u>client</u> until another request to access the object occurs.

Claims Text - CLTX (39):

26. The method of claim 21, wherein the objects is transferable to another <u>client</u> and the permission key corresponding to the object is not transferable to another <u>client</u>.

Claims Text - CLTX (41):

28. The method of claim 27, wherein the file comprising digital content is encrypted, decrypting the object causes the encrypted file comprising digital content to be copied to a temporary location at the <u>client</u>, and use of the digital content is enabled by decrypting the file

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comprising digital content as a continuous data stream in real-time.

Claims Text - CLTX (44):

requesting the object from a merchant server via a public switched network;

Claims Text - CLTX (45):

receiving the object from the merchant server via the public switched network; and

Claims Text - CLTX (46): storing the object at the client.

Claims Text - CLTX (48):

32. A computer-implemented method of regulating access to protected digital content at a client, the method comprising:

Claims Text - CLTX (50):
providing the package to the client,

Claims Text - CLTX (51):

in connection with a request at the <u>client</u> for access to the protected digital content, executing the executable process at the <u>client</u> to request permission from a server for access to the protected digital content,

Claims Text - CLTX (54): granting access at the client to the digital content.

Claims Text - CLTX (56):

34. The method of claim 33, wherein the digital content is reusable at the <u>client</u> and the locked, compressed object is transferable to another <u>client</u>.

Claims Text - CLTX (57):

35. The method of claim 33, wherein granting access to the digital content

comprises decoding and decompressing the object, creating a temporary copy of

the file containing the digital content at a location at the client, and upon

notification that the resource is finished accessing the digital content

deleting the temporary copy of the file containing the digital content, and

encrypting and compressing the object.

#### Claims Text - CLTX (60):

a <u>client</u> for executing an access checking process to determine whether a

<u>client</u> holds a pre-existing permission for a resource to access the digital

content; for transmitting access information if the access checking process

fails to determine that the <u>client</u> holds the permission; for receiving a

token; and for executing an installation procedure based on the received token

to install a permission that is unique to the  $\underline{\text{client}}$  and may be found by a

later execution of the access checking process;

### Claims Text .- CLTX (61):

a server for receiving access information transmitted by the client; for

processing the access information; for submitting authorization information

based on the access information; for receiving an authorization code; and for

transmitting the token to the <u>client</u> based on the authorization code received; and

#### Claims Text - CLTX (66):

41. The system of claim 37, the system further comprising a public switched <a href="mailto:network">network</a> for transmitting the access information and the token.

Claims Text - CLTX (67):

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42. The system of claim 41, wherein a secure socket connection is established between the <u>client</u> and the server for transmitting the access information and the token via the public switched network.

Claims Text - CLTX (69):

44. The system of claim 37, the system further comprising a frame relay <a href="network">network</a> for transmitting the authorization information and the authorization code.

Claims Text - CLTX (71):

46. The system of claim 37, wherein the digital content has an associated key, and the installation procedure comprises writing the associated key to a location at the client.

Claims Text - CLTX (72):

47. The system of claim 46, wherein the installation procedure further comprises writing machine identification data to a location at the <u>client</u>, the machine identification data enabling access to the digital content at the client.

Claims Text - CLTX (74):

49. The system of claim 46, wherein the access check comprises checking the <u>client</u> for the associated key and the machine identification data.

Claims Text - CLTX (76):

51. The system of claim 50, wherein the object is requested and the object is delivered via a public switched network.

Claims Text - CLTX (77):

52. The system of claim 50, wherein the object is requested via the

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<u>Internet</u> and the object is delivered in compressed format via the Internet.

Claims Text - CLTX (80):

55. The method of claim 9, the method further comprising establishing a secure socket connection with the server for requesting the permission and receiving the token via the public switched network.

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Setting Up a <u>Web</u> Store: A White Paper for Software
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US-PAT-NO: 6389541

DOCUMENT-IDENTIFIER: US 6389541 B1

TITLE: Regulating access to digital content

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US Patent No. - PN (1): 6389541

Brief Summary Text - BSTX (12):

attractiveness and ease of use of interfaces presented to the user for interacting with

the computer or other content-providing vehicle. In the physical world,

Also important for content delivery are the

interfaces are important for

a variety of purposes. A newspaper, for example, is arranged to have an

aesthetically pleasing layout, eye-catching graphics and titles, and easy

browsing from one page to the next, in order to facilitate viewing its content.

Also, an interface can govern the manners in which separate physical documents

are arranged and delivered. When sending physical documents, for example,

related documents often are grouped by paperclip or staple, or by packaging in

an envelope. Like the physical world, in the digital environment, using a

network for sending a document, receiving a document, viewing a document,

paying for a document, or requesting <u>permission</u> to access a document all may be

controlled through user interfaces. The properties and characteristics of the

particular interface(s) used will affect the desirability of conducting such

operations electronically.

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